

“Management of collaboration for agricultural innovation systems: a case of constraints among stakeholders in the North West Province, South Africa”

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## Management of collaboration for agricultural innovation systems: a case of constraints among stakeholders in the North West Province, South Africa

### Abstract

The objective of this paper is to identify constraints hindering collaboration among stakeholders for agricultural innovation system in the North West Province of South Africa. A total sample size of 205 respondents is selected for the study. The study first identifies the characteristics of stakeholders involved in the agricultural innovation system in the North West Province. Data are collected through a structured questionnaire on personal characteristics and constraints hindering collaboration in agricultural innovation system among stakeholders. The data are subjected to analysis using the Statistical Product and Service Solution (SPSS). Descriptive statistics such as frequency distribution, percentages and one way ANOVA are used for analysis. The results revealed that gender has an influence on the adoption of agricultural innovation in the North West Province; farming decisions are dominated by men rather than women, extension agents (65%), researchers (68%), farmers (51%), input dealers (73%) and marketers (70%). One way analysis of variance results shows no difference in constraint among AIS stakeholders in North West Province. The F value for constraint shows that there is no significant difference among stakeholders in the North West Province.

**Keywords:** management, collaboration, innovation system.

**JEL Classification:** O32.

### Introduction

Agricultural innovation system (AIS) is perceived as a vehicle for economic empowerment for rural households making a living from agricultural activities. Adopting agricultural innovation system requires collaboration among stakeholders. Klerks, Schut and Leeuwis (2012a) added that adopting AIS requires insight in the structural conditions provided by the agricultural innovation support system that can either enable or constrain innovation in the agricultural system. According to Hounkonnou, Kossou, Kuypers (2012), within the AIS approach, innovation is perceived as a process of combined technological (such as cultivars, fertilizer, agronomic practices) and non-technological (such as social practices including labor organizations or institutional settings such as land-tenure arrangement) changes. Kilelu, Klerks and Leeuwis (2013) add that such changes occur across different levels: in the farm, field and region and are shaped by collaboration amongst stakeholders and organizations inside and outside the agricultural sector. Rolling (2009) asserts that in the AIS approach, innovation is considered the result of a process of networking and interactive learning among a heterogeneous set of actors, such as farmers, input industries, processors, traders, researchers, extensionists, government official, and civil society organizations. This indicates that agricultural innovation is not only about introducing new

technologies but also about institutional change as it requires new ways of managing and organizing. Agriculture is evolving in an environment of rapid changes, in technology, markets, policies, demography and natural resources; it is important that all actors in the agricultural sector must innovate and develop new ways of collaborating to generate knowledge and put it into use at the required pace (Daane, 2010; and Hansen, Jespersen, Brunori, 2014).

World Bank (2012) noted that variety of methods have been developed and applied that can support agricultural innovation system and they were never successful. Investigating the constraints for stakeholder collaboration is essential to enhance agricultural production; however, collaboration relies on trust and constructive engagement for actors to achieve a broad common purpose. To achieve this, it is important to identify barriers and create respective roles of the government, private sector, farmers and researchers. Stakeholder collaboration can provide enhanced insight to the extent of the constraints and the feasible solutions. Klekx and Gildemacher (2012) noted that the constraint to collaboration among stakeholders for agricultural innovation system is often not the results of unwillingness to interact but lack of capacity, structures and incentives to interact effectively. However, through collaboration in agricultural innovation system, communication amongst stakeholders can improve greatly. The objectives of the study are to: Identify the characteristics of stakeholders for agricultural innovation system in the North West Province, South Africa and identify constraints to collaboration among stakeholders.

## 1. Literature

Innovations of agricultural suppliers, producers and retailers are directly or indirectly shaping sustainability within the agro food-web. If sustainable innovations are targeted, the key challenges faced by agriculture worldwide, such as food security, climate change, should be promoted. Knowledge about current innovation processes is needed to reveal mechanisms that allow for promoting sustainable agricultural innovations (Kunig, Kuntosch, Bokelmann, 2012). Innovation is defined differently by different authors, Anandajayasekeram (2009) defines it as “the technical, design, manufacturing, management and commercial activities involved in the marketing of a new (or improved) product or the first commercial use of a new (or improved) process or equipment”, whereas Hristov (2011) defines it as “all important economic, social, political, organizational, institutional, and other factors that influence the development, diffusion and use of innovations”. Innovations are new creations of economic significance and relate to the production of new knowledge and/or new combination of existing knowledge. The critical point to note is that this knowledge cannot be regarded as innovation unless it is transformed into products and processes that have social and economic use.

A system is a collection of related elements that must function in concert in order to achieve a desired result. It consists of interlinked subsystems. A system contains one or more feedback loops which are central to the system behavior and permits a system to function in a self-managed, self-sustained way. Ponniah and Gebremedhin (2009) add that the application of the systems in agricultural and Rural Development started with the farming systems and has expanded to the application in organization and institutional analysis, resulting in the agricultural innovation systems. Agricultural innovation system occurs through dynamic interaction among the multitudes of actors involved in growing, processing, packaging, distributing and consuming of agricultural products. These actors have different skills, therefore, interaction among them needs to open and draw upon the most appropriate knowledge. The ability to innovate is related to collective action, coordination and the exchange of knowledge among multiple actors, the incentives and resources available to form partnership and develop business and conditions that make it possible for farmers to use the innovation. Research, education and extension are not enough to bring knowledge, technologies and service to farmers and

get them innovate. Innovation requires a more interactive, dynamic and flexible process in which actors coordinate their efforts with various conditions and complementary activities that go beyond extension. The agricultural innovation system caters for various conditions and relationships that promote innovation in agriculture, considers diverse actors, their potential interaction in promoting innovation. Agricultural innovation system supports research, extension and education and creates links among extension and farmers for innovation to take place (World Bank, 2012).

The importance of promoting agricultural innovation system is that it builds on local knowledge and resources, which results in ownership and continuity of initiatives, while addressing the priority needs of beneficiaries or communities for improved livelihoods. The agricultural innovation system approach allows for collaboration and communication among stakeholders, which is subsequent to social learning. Stakeholders are able to identify and recognise their experimentation efforts, responsibilities, strengths and weaknesses, thereby strengthening participation and community innovation processes.

## 2. Methodology

**2.1. Study area.** The study was conducted in the North West Province of South Africa. It covered four districts, namely, Dr Segomotsi Mompoti, Ngaka Modiri Molema, Dr Kenneth Kaunda and the Bojanala Platinum. The Province lies between 22 and 28 degrees longitude east of the Greenwich meridian, covering 116 320 km<sup>2</sup> or about 9.5% of South Africa's total surface area. The North West province shares boundaries with the Northern Cape in the west, the Free State in the south, Gauteng in the east and Limpopo (formerly Northern Cape) in the east (DAFF, 2000). The Province is also characterized by great seasonal and daily variations in temperatures ranging from 17° to 31° Celsius in summer and 3° to 21° Celsius in winter. Annual rainfall totals about 360mm, falling during the summer months, between October and April. The North West has the largest cattle herds found in Stella near Vryburg. The areas around Brits and Rustenburg are fertile, providing mixed crop farming land. Maize and sunflowers are the most important crops and the North West is the major producer of white maize in the country.

A list of researchers, extension agents and farmers was obtained from their respective organizations within the North West province and the list served as a sampling frame for the study. For input dealers and marketers, there was no definite sampling frame. The frame for different groups was as

follows: Extension agents from the Department of Agriculture and Rural Development (195), researchers from agricultural research and the North West University (135), registered farmers from African Farmers Association of South Africa, the National African Farmers Union and the North West Emerging Red Meat Producer Organization (195). Simple random sampling technique was used to select respondents because each individual has the same probability of being chosen at any stage during the sampling process. The researcher decided to contact a maximum number of respondents but due to order situations in the province, a large sample size of  $n \geq 30$  was used to select the farmers, extension agents, researchers, marketers and input dealers for agricultural innovation system. A total of 205 respondents were randomly selected as follows: 60 extension agents, 50 researchers, 35 farmers, 30 input dealers and 30 marketers.

Data were generated from primary sources based on the objectives of the study. An interview schedule was used to elicit information from respondents. Data were collected through a structured questionnaire developed based on the study objectives and review of the relevant literature. Close-ended questions were used to collect demographic information such as gender, age, household size, religion, educational level and working experience in the first section. The second section focused on the constraints hindering collaboration among respondents, and was measured in a two point scale of Yes (2) and No (1).

Data collected were sorted, coded and analyzed using the Statistical Product and Service Solution (SPSS). The data collected were analyzed using both descriptive statistics such as percentage and frequency distribution. Analysis of variance was used to measure constraints hindering collaboration among farmers, extension agents, researchers, input dealers and marketers.

**2.2. Results and discussion.** The first objective of the study was to identify the characteristics of stakeholders for agricultural innovation system in the North West Province. Table 1 indicates that extension agents were predominantly males (65%) and only 35% were females. This might be attributed to socio-cultural factors which favor men. Oladele (2011) maintains that it is a wide belief that males are dominating in the agricultural sector compared to females.

Martey, Etwire, Wiredu and Dogbe (2014) point out that females usually lack access to agricultural resources that enhance their participation in social activities and innovation.

Table 1 also presents the age of respondents; about 50% (extension agents), 46% (farmers) and 60% (marketers) are over fifty years respectively. Input dealers (40%) fall within the range of 41-49 years. This implies that they are in the productive stage and this would help increase food production. However, researchers (56%) were found to be less than 40 years of age.

Furthermore Table 1 presents the marital status of the respondents. It was found that researchers (64%) farmers (71%) were married; it further reveals that input dealers (70%) and marketers (90%) were married. Nnadi and Akwiwu (2008) found that marriage increases one's concern for household welfare and food security which is therefore likely to have a positive effect on their decision to participate in an agricultural project.

Household size is also presented in Table 1. About 52% of extension agents, 58% of researchers, 58% of farmers, 73% of input dealers and 74% of marketers revealed that their household size falls within the bracket of 3 to 5 persons. This might be because of the fact that the cost of living has become too high and people prefer to have fewer family members. This is contrary to the findings of Wiredu, Martey and Etwire (2013) who found that household size serves as a form of family labor and complements the efforts of household heads on the farm.

Table 1 further presents the educational level of respondents. 40% of the extension agents have a degree or qualification, 52% of researchers have a master's degree, 40% of farmers have certificates, 53% of input dealers have a diploma and 90% of marketers have certificates. This shows that respondents can make decisions. Enete and Igbokwe (2009) found that education enables people to make independent choices and act on the basis of the decision. It further increases the tendency to collaborate with others and participate in group activities.

Table 1 further reveals the distribution for studying for a higher qualification. A high percentage of respondents were found not to be studying for a higher qualification (52% of extension agents and 58% of researchers) and this might be as a result of the higher qualifications they already have. However, some of them indicated that their workload makes it difficult for them to pursue their studies. Farmers (83%) indicated that it is difficult for them to further studies because in addition to farm the work, they also have family responsibilities. Input dealers represented 70% and marketers – 97%.

The findings in Table 1 depict that 37% of extension agents have more than 20 years of working

experience compared to 38% of researchers, and 46% of farmers. This is contrary to Adesoji, Farinde and Ajayi (2006) who found that only 22% of farmers have more than ten years of farming experience. Furthermore, 44% of input dealers were found to

have working experience of 6 to 10 years. However, long work experience is helpful because it enables one to understand what working environments are like and to adhere to the conditions, and demonstrate the best of his/her ability.

Table 1. Demographic characteristics of respondents

Variables	Extension		Researchers		Farmers		Input dealers		Marketers	
	Frequency	%	Frequency	%	Frequency	%	Frequency	%	Frequency	%
Gender										
Male	39	65	34	68	18	51	22	73	21	70
Female	21	35	16	32	17	49	8	27	9	30
Age										
< 40 years	16	27	28	56	12	34	10	33	4	13
41-49 years	14	23	13	26	7	20	12	40	8	27
> 50 years	30	50	9	18	16	46	8	27	18	60
Marital status										
Single	51	85	15	30	7	20	7	23	1	3
Married	2	3	32	64	25	71	21	70	27	90
Divorced	7	12	3	6	0	0	1	3	1	3
Widowed	0	0	0	0	3	9	1	3	1	3
Household size										
1-2 members	11	18	14	28	0	0	1	3	4	13
3-5 members	31	52	29	58	20	58	22	73	22	74
> 5 members	18	30	7	14	15	43	7	23	4	13
Highest educ. level										
No formal education	0	0	0	0	11	31	0	0	0	0
Certificate	12	20	0	0	14	40	6	20	27	90
Diploma	18	30	0	0	8	23	16	53	3	10
Degree	24	40	3	6	1	3	7	23	0	0
Honors	5	8	5	10	1	3	1	3	0	0
Masters	1	2	26	52	0	0	0	0	0	0
PhD	0	0	16	32	0	0	0	0	0	0
Studying for a higher qualification										
Yes	29	48	21	42	5	17	9	30	1	3
No	31	52	29	58	30	83	21	70	29	97
Working experience										
1-5 years	5	8	12	20	6	17	4	13	-	-
6-10 years	15	25	19	38	16	46	13	44	-	-
11-15 years	14	23	8	16	3	9	7	23	-	-
16-20 years	4	7	3	6	4	11	3	10	-	-
> 20 years	22	37	8	16	6	17	3	10	-	-

### 3. Constraints to collaboration

Collaboration comes in varied forms and is often derived out of a need to provide knowledge and skills. Collaboration evolves and may be terminated once the objectives of the stakeholders have been achieved or modified. Therefore, collaboration can either be rewarding or risky at the same time (Freeman, Ganguli and Murciano-Gorof, 2014). Table 2 presents results of the study with regard to constraint faced by researchers, input dealers and marketers. Constraints ranked by researchers were as follows: status among stakeholders (96%), poor administration of research and extension

institutions, lack of recognition from colleagues both at (92%) job tenure of researchers (90%), conflicting ideas and limited resources (86%). Ubfal (2010) found that private mechanisms of funding are not widespread in developing countries and public funding was found to be the option for researchers. He further identified poor infrastructure for scientific research.

Moreover, constraints ranked highest by input dealers were as follows: Inadequate finance for technology (93%), status among stakeholders (93%), professional bias (93%), poor administration of research and extension institutions (93%), conflicting ideas (90%),

lack of recognition from colleagues (90%), lack of interest (87%), limited resources (87%) and key decision-makers difficult to contact (87%). Contrary to these findings, Jonas, Mairura and Ekisa (2008) found high transport costs due to poor infrastructure, lack of market information, lack of storage facilities and limited skills among input dealers as major constraints to collaboration.

Furthermore, constraints ranked highly by marketers were as follows: political issues influencing research and extension, lack of recognition from colleagues, not aware of existing technology (87%), isolation and scattered habitat from farmers, inadequate research staff, poor administration of research and extension (83%). Antwi and Seahloidi (2011) found the problem of accessing the high value market as a constraint to collaboration.

Extension agents indicated that their major constraints to collaboration are as follows: inadequate research staff (98%), lack of interest (93%), poor administration of research and extension institutions (93%), job tenure of extension agents (92%), inadequate finance for technologies (90%), no consultation (88%), lack of

communication among stakeholders (88%), professional bias (85%), job tenure of researchers (85%), status among stakeholders (83%) and political issues influencing research and extension (83%). However, Farooq (2010) found that inadequate research staff, lack of teaching equipment/facilities, poor linkages between research and extension organizations as the main obstacle hindering collaboration. In addition, Ajani and Onwubuya (2013) maintain that inadequate numbers and qualifications of extension agents create problems for extension agents to collaborate.

Prominent constraints to collaboration as ranked by farmers were as follows: inadequate finance for technologies and conflicting ideas both at (97%), no consultation and no complement from colleagues (94%), lack of communication among stakeholders, isolation and scattered habitat of farmers and inappropriate technologies (87%). These problems prevent farmers from abiding with the advice of extension workers and hence tend to be left behind in the adoption of the innovation process. According to Apantaku (2006), factors identified by farmers are low encouragement, inadequate knowledge and skills.

Table 2. Constraints to collaboration faced by extension agents, farmers, researchers, input dealers and marketers

Constraint	Extension agents		Farmers		Researchers		Input dealers		Marketers	
	Y	N	Y	N	Y	N	Y	N	Y	N
Inadequate extension staff	42 (70)	18 (30)	25 (71)	10 (27)	40 (80)	10 (20)	29 (27)	1 (3)	21 (70)	9 (30)
Inadequate farmer participation	35 (58)	25 (42)	6 (17)	29 (83)	19 (38)	31 (62)	13 (43)	17 (57)	22 (73)	8 (27)
Lack of information on new technology	25 (42)	35 (58)	13 (37)	22 (63)	24 (40)	26 (52)	17 (57)	13 (43)	22 (73)	8 (27)
Inadequate finance for technologies	54 (90)	6 (10)	34 (97)	1 (3)	34 (68)	16 (32)	28 (93)	2 (7)	22 (73)	8 (27)
Conflicting ideas	43 (72)	17 (28)	34 (97)	1 (3)	43 (86)	7 (14)	27 (90)	3 (10)	22 (73)	8 (27)
No consultation	53 (88)	7 (12)	33 (94)	2 (6)	38 (76)	12 (24)	21 (70)	9 (30)	21 (70)	9 (30)
Lack of communication among stakeholders	53 (88)	7 (12)	31 (89)	4 (11)	30 (60)	20 (40)	24 (80)	6 (20)	23 (77)	7 (23)
Status among stakeholders	50 (83)	10 (17)	28 (80)	7 (20)	48 (96)	2 (4)	28 (93)	2 (7)	23 (77)	7 (23)
Lack of complement from colleagues	41 (68)	19 (32)	33 (94)	2 (6)	40 (80)	10 (20)	19 (63)	11 (37)	23 (77)	7 (23)
Poor management	45 (75)	15 (25)	32 (91)	3 (9)	36 (72)	14 (28)	17 (57)	13 (43)	22 (73)	8 (27)
Lack of skilled staff	34 (7)	26 (43)	28 (80)	7 (20)	36 (72)	14 (28)	17 (57)	13 (43)	24 (80)	6 (20)
Isolation and scattered habitat of farmers	20 (33)	40 (67)	31 (87)	4 (11)	27 (54)	23 (46)	23 (77)	7 (23)	25 (83)	5 (17)
Lack of trust	48 (80)	12 (20)	19 (54)	16 (46)	34 (68)	16 (32)	26 (87)	4 (14)	23 (77)	7 (23)
Lack of interest	56 (93)	4 (7)	23 (64)	12 (34)	28 (56)	22 (44)	19 (63)	11 (37)	23 (77)	7 (23)
Inappropriate technologies	49 (82)	11 (18)	31 (87)	4 (11)	36 (72)	14 (28)	16 (53)	14 (47)	24 (80)	6 (20)
Professional bias	51 (85)	9 (15)	26 (74)	9 (30)	35 (70)	15 (30)	28 (93)	2 (7)	24 (80)	6 (20)
Limited resources	49 (82)	11 (18)	25 (71)	10 (29)	43 (86)	7 (14)	26 (87)	4 (14)	24 (80)	6 (20)
Inexperienced staff	49 (82)	11 (18)	27 (77)	8 (23)	37 (74)	13 (26)	22 (73)	8 (27)	24 (80)	6 (20)
Key decision makers difficult to contact	42 (70)	18 (30)	26 (74)	9 (26)	35 (70)	15 (30)	26 (87)	4 (14)	24 (80)	6 (20)
Unclear project scope	25 (42)	35 (58)	17 (49)	18 (51)	25 (50)	25 (50)	21 (70)	9 (30)	23 (77)	7 (23)
Lack of time	29 (48)	31 (52)	23 (66)	12 (34)	38 (76)	12 (24)	22 (73)	8 (27)	24 (80)	6 (20)
Different expectations	43 (72)	17 (28)	25 (71)	10 (29)	34 (68)	16 (32)	22 (73)	8 (27)	27 (90)	3 (10)
Job tenure of researchers	51 (85)	9 (15)	16 (46)	19 (54)	45 (90)	5 (10)	25 (83)	5 (17)	23 (77)	7 (23)
Job tenure of extension agents	55 (92)	5 (8)	24 (67)	11 (31)	40 (80)	10 (20)	24 (80)	6 (20)	23 (77)	7 (23)
Qualification of extension agents	45 (75)	15 (25)	21 (60)	14 (20)	40 (80)	10 (20)	14 (47)	16 (53)	23 (77)	7 (23)
Political issues influencing research & extension	50 (83)	10 (17)	28 (80)	7 (20)	41 (82)	9 (18)	29 (27)	1 (3)	26 (87)	4 (13)
Inadequate research staff	59 (98)	1 (2)	17 (49)	18 (51)	11 (22)	39 (78)	29 (27)	1 (3)	25 (83)	5 (17)

Table 2 (cont.). Constraints to collaboration faced by extension agents, farmers, researchers, input dealers and marketers

Constraint	Extension agents		Farmers		Researchers		Input dealers		Marketers	
	Y	N	Y	N	Y	N	Y	N	Y	N
Poor administration of research & extension institutions	56 (93.3)	4 (7)	22 (63)	13 (37)	46 (92)	4 (8)	28 (93)	2 (7)	25 (83)	5 (17)
Lack of recognition from colleagues	42 (70)	18 (30)	24 (69)	11 (31)	46 (92)	4 (8)	27 (90)	3 (10)	26 (87)	4 (13)
Lack of interest	42 (70)	18 (30)	20 (57)	15 (43)	32 (64)	18 (38)	25 (83)	5 (17)	24 (80)	6 (20)
Distance between research centres and extension	31 (52)	29 (48)	21 (60)	14 (40)	39 (78)	11 (22)	22 (73)	8 (27)	25 (83)	5 (17)

### One way ANOVA showing difference among stakeholders for agricultural innovation system in the North West Province

In Table 3, with one way analysis of variance, results show no difference in constraint among AIS stakeholders in North West Province. The F value shows that there is no significant difference among stakeholders in the North West Province.

Table 3. One way ANOVA showing differences among stakeholders for agricultural innovation in the North West Province

Constraint	Sum of squares	DF	Mean square	F	SIG	Remarks
Btw groups	157.833	4	39.458	1.173	.321	No significant difference (post-hoc not necessary)
Within groups	6726.723	200	33.634			
Total	6884.556	204				

### Conclusion

The focus of this study was to identify constraints to collaboration among stakeholders for agricultural

innovation system. Innovation is essential for agricultural development.

Gender has an influence on the adoption of agricultural innovation in the North West Province. Farming decisions are dominated by men rather than women. Attention to gender will improve the efficiency of an organization through targeting both men and women. It is important that women and men have equality of opportunity as an important priority. It is also a necessity for the sustainability of rural development programs. Equal opportunities must be integrated in the design and implementation of rural development programs and projects. Constraints that were ranked high by the stakeholders need to be mitigated as their hindrance to collaboration can be detrimental to agricultural productivity. One way analysis of variance results showed no difference in constraint among AIS stakeholders in North West Province. The F value for constraint shows that there is no significant difference among stakeholders in the North West Province.

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